

### Synairgen plc

### Synairgen announces topline results from Phase 3 SPRINTER trial in patients hospitalised with COVID-19

**Southampton, UK** – 21 February 2022: Synairgen plc (LSE: SNG), the respiratory company developing SNG001, a formulation for inhalation containing the broad-spectrum antiviral protein interferon beta, today announces that the international Phase 3 SPRINTER trial of SNG001 in patients hospitalised with COVID-19 did not meet its primary or key secondary efficacy endpoints. SNG001 demonstrated a favourable safety profile and was well tolerated in this population.

**Richard Marsden, CEO of Synairgen, commented:** "While we are disappointed by the overall outcome, SNG001 has been administered to hospitalised patients on top of standard of care which changed substantially between our Phase 2 and Phase 3 trials. This improvement in patient care may have compromised the potential of SNG001 to show a clinical benefit in respect of the endpoints for this study, which were not met. Despite this we have observed an encouraging trend in prevention of progression to severe disease and death, which we strongly believe merits further investigation in a platform trial. We are now analysing the full dataset to better understand all the findings."

"In the meantime, we eagerly await the Phase 2 data from the US NIH ACTIV-2 trial in home-based COVID-19 patients, and that trial's larger, follow-on Phase 3 study, as part of the development path for SNG001."

#### Efficacy

A total of 623 patients were randomised to receive SNG001 (n=309) or placebo (n=314) in addition to standard of care (SOC). The primary analysis was conducted in the intention-to-treat population (ITT; all randomised patients). Data for the per protocol population (PP) is also shown. The PP population excludes patients with major protocol violations that may have confounded the results.

#### **Primary Endpoints**

Regarding the primary endpoints (Table 1), patients who received SNG001 were no more likely to be discharged from hospital than patients who received placebo, and patients who received SNG001 were also no more likely to recover to 'no limitation of activities' than patients who received placebo, in both the ITT and PP populations. The evolution in standard of care over the course of the pandemic (for example, 87% of patients in this trial received systemic corticosteroids for COVID-19 at baseline whereas none did in the Phase 2 study of SNG001 in COVID-19<sup>1</sup>) may have compromised the potential of SNG001 to show a clinical benefit in respect of the primary endpoints for this study.

<sup>&</sup>lt;sup>1</sup> Monk PD, Marden RJ, Tear VJ, et al. Safety and efficacy of inhaled nebulized interferon beta-1a (SNG001) for treatment of SARS-CoV-2 infection: a randomized, double-blind, placebo-controlled, phase 2 trial. Lancet Respir Med. 2021;9:196–206.



#### **Table 1: Primary Endpoints**

Population		Intention-to-Treat		Per Protocol	
Endpoint		Placebo + SOC (n=314)	SNG001 + SOC (n=309)	Placebo + SOC (n=261)	SNG001 + SOC (n=256)
Time to hospital discharge through day 28	Median (95%Cl)	8 (7,9) Days	7 (7,8) Days	7 (6,8) Days	7 (6,7) Days
	HR (95% CI)	1.06 (0.89, 1.27); p=0.509		1.02 (0.84, 1.23); p=0.846	
Time to recovery* through day 28	HR (95% CI)	1.02 (0.81-1.	28); p=0.888	1.01 (0.79,	1.29); p=0.933

\* to 'no limitation of activities' on the WHO ordinal scale for clinical improvement (OSCI). HR =Hazard ratio; 95% CI = 95% confidence intervals. The per protocol population excludes patients with major protocol violations that may have confounded the results. These violations included receiving fewer than 2 full doses in the first 3 days, ongoing SARS-CoV-2 infection for more than 3 weeks prior to randomisation, not having a positive SARS-CoV-2 test result at screening, patients kept in hospital for reason other than the severity of their COVID-19 and patients who were not escalated to advanced respiratory support despite clinical need.

#### **Key Secondary Endpoints**

For the key secondary endpoints (Table 2), there was a trend in favour of SNG001 for the endpoint measuring progression to severe disease or death within 35 days of randomisation with a 27% and 37% relative risk reduction, for the ITT and PP populations respectively, in the proportion of patients who were treated with SNG001 compared to patients on placebo.

#### **Table 2: Key Secondary Endpoints**

Population		Intention-to-Treat		Per Protocol	
Endpoint		Placebo + SOC (n=314)	SNG001 + SOC (n=309)	Placebo + SOC (n=261)	SNG001 + SOC (n=256)
Progression to severe disease or death within	n (%)	46 (14.7%)	33 (10.7%)	32 (12.3%)	20 (7.8%)
35 days	OR (95% CI)	0.69 (0.43, 1.12); p=0.135		0.63 (0.35, 1.13); p=0.119	
	RRR	27.1% reduction		36.3% reduction	
Progression to intubation or	n (%)	23 (7.3%)	20 (6.5%)	15 (5.7%)	10 (3.9%)
death within 35 days	OR (95% CI)	0.85 (0.45, 1.61); p=0.610		0.76 (0.34, 1.72); p=0.512	
	RRR	11.6% reduction		32.0% reduction	



Death within 35	n (%)	17 (5.4%)	14 (4.5%)	12 (4.6%)	7 (2.7%)
days					

OR = Odds ratio; 95% CI = 95% confidence intervals, RRR = Relative Risk Reduction

#### Safety

SNG001 was well tolerated in the SPRINTER trial with a favourable safety profile consistent with previous studies. The proportion of patients with any serious treatment-emergent adverse events was 12.3% on SNG001 and 18.2% on placebo. The proportion of patients with any treatment-emergent adverse events related to study treatment was 22.3% on SNG001 and 25.7% on placebo.

#### **Next steps**

Synairgen will now review the study's full dataset to better understand the detailed results and implications for development for SNG001. The study results will also be submitted for publication in a peer-reviewed journal.

#### **Ongoing ACTIV-2 trial**

SNG001 is being investigated for possible use in COVID-19 patients at home as part of the US National Institute of Health's ACTIV-2 trial. In October 2021 Synairgen announced that SNG001 had been recommended to advance from Phase 2 into Phase 3 in this trial in mild to moderate COVID-19 patients.

Tom Wilkinson, Chief Investigator and Professor of Respiratory Medicine, University of Southampton, said: "The results of the SPRINTER study confirm that inhaled interferon beta can be safely administered to hospitalised patients with COVID-19. The primary study endpoints of time to hospital discharge and time to recovery have not shown a clear treatment benefit. However, there is an important trend in favour of SNG001 in the secondary outcome progression to severe disease and death, which aligns to the findings of the earlier Phase 2 study. Better treatments for severe disease are still desperately needed but what's clear is that improvements in outcomes driven by vaccination and the evolution in standards of care mean that larger studies are required to definitively explore SNG001's impact on mortality and severe disease. With the strong safety profile that this treatment now has shown, it is appropriate for it to be considered by the large platform studies to confirm efficacy signals in severe COVID-19."

The Company's current cash balances as of today are in excess of £25 million.

This announcement contains inside information for the purposes of Article 7 of Regulation (EU) No. 596/2014 ('MAR').

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#### Notes for Editors

#### About SPRINTER (SG018) trial

The SPRINTER trial (SG018; NCT04732949) is a global Phase 3, randomised, placebocontrolled, double-blind, multi-site clinical trial assessing the efficacy and safety of inhaled SNG001 on top of standard of care for the treatment of adults hospitalised due to COVID-19 requiring treatment with supplemental oxygen by mask or nasal prongs. Patients requiring high-flow nasal oxygen therapy, non-invasive ventilation, or endotracheal intubation (invasive ventilation) at randomisation were excluded. COVID-19 was confirmed using a validated molecular test for the presence of the SARS-CoV-2 virus.

The primary efficacy analysis was performed in the intention-to-treat population (all randomised patients) by evaluating the change in clinical condition using the WHO 9-point Ordinal Scale for Clinical Improvement (OSCI; See Table 1 below) out to Day 35. Participants will be followed out to Day 90 to allow the assessment of long-COVID symptoms. The trial had two primary endpoints, evaluated using Cox proportional hazards modelling:

- Time to hospital discharge through Day 28, defined by the OSCI score of 2 or below, with no rebound (readmission) at subsequent assessments; and
- Time to recovery to "no limitation of activities" through Day 28, where recovery is defined as the OSCI score of 1 or below, with no rebound at subsequent assessments.

Key secondary endpoints, analysed using logistic regression, were:

- Progression to severe disease or death, defined by the WHO OSCI score of 5 or above within 35 days of first dose;
- Progression to intubation or death, defined by the WHO OSCI score of 6 or above within 35 days of first dose; and
- Death within 35 days of first dose.

The trial enrolled 623 patients, randomised (1:1) to treatment with inhaled SNG001 or placebo on top of standard of care at more than one hundred sites across 17 countries including Argentina, Belgium, Brazil, Colombia, France, Germany, India, Israel, Italy,



Mexico, Netherlands, Portugal, Romania, Serbia, Spain, the United Kingdom and the United States.

SNG001 (interferon beta-1a nebuliser solution; 15.6MIU) or placebo (formulation buffer without interferon beta) were administered by patients in the hospital, and at home once discharged, once-daily for up to 14 days using the Aerogen Solo/Ultra nebuliser.

Patient State	Descriptor	Score
Uninfected	No clinical or virological evidence of infection	0
Ambulatory	No limitation of activities	1
	Limitation of activities	2
Hospitalized – Mild disease	Hospitalized, no oxygen therapy d – Mild disease	
	Oxygen by mask or nasal prongs	4
	Non-invasive ventilation or high-flow oxygen	5
Hospitalized – Severe disease	Intubation and mechanical ventilation	6
	Ventilation + additional organ support – pressors, RRT, ECMO	7
Dead	Death	8

#### Table 1: WHO Ordinal Scale for Clinical Improvement (OSCI)

#### About SNG001

SNG001 is a pH-neutral formulation of interferon-beta (IFN- $\beta$ ) for inhalation that is delivered directly into the lungs using a mesh nebuliser, currently being investigated as a potential host-directed antiviral treatment for COVID-19 patients.

The SARS-CoV-2 virus has been shown to suppress the production of IFN-beta, a naturally occurring protein that orchestrates the body's antiviral defences, with the aim of evading host immune responses. By administering IFN-beta into the lungs, the aim is to correct this deficiency, potentially switching back on the lungs' antiviral pathways to clear the virus.

#### **About Synairgen**



Synairgen is a specialist respiratory biotechnology company whose primary focus is developing its inhaled IFN- $\beta$  candidate (SNG001) for the treatment of COVID-19 and other severe viral lung infections. SNG001 has been granted Fast Track designation from the US Food and Drug Administration (FDA) and its Phase 3 SPRINTER trial was deemed an Urgent Public Health study by the UK's National Institute for Health Research (NIHR). Founded by University of Southampton Professors Sir Stephen Holgate, Donna Davies, and Ratko Djukanovic in 2003, Synairgen is quoted on AIM (LSE: SNG). For more information about Synairgen, please see www.synairgen.com.